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**FACSIMILE TRANSMISSION****DATE:** 27 June 2006**TO:** Examiner Ehichioya, Group Art Unit 2162 (Fax No. 571-273-8300)**FROM:** Frank V. DeRosa, Esq.  
F. CHAU & ASSOCIATES, LLC**Re:** U.S. Serial No. 09/925,596**NO. OF PAGES TO FOLLOW: 15****MESSAGE:**

As we discussed, enclosed are copies of documents mailed on 4/27/06, including an Amendment in response to the Office Action of 12/27/06. Pair does not show that these documents have been scanned in or otherwise received, so we are sending them again via facsimile to avoid abandonment.

**IN CASE OF INCOMPLETE OR INADEQUATE TRANSMISSION, PLEASE CALL (516) 692-8888**

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THE U.S. PATENT AND TRADEMARK OFFICE DATE STAMP WILL ACKNOWLEDGE  
RECEIPT OF:

1. Amendment w/cert. of mailing dated April 27, 2006
2. Amendment Transmittal Letter (in triplicate) w/cert. of Mailing
3. Petition for a one-month extension of time
4. Credit Card Payment Form
5. Return Postcard

Applicants: Zhong-Hua Wang, et al.  
Serial No.: 09/925,596  
Filed: August 9, 2001  
For: METHOD AND APPARATUS FOR AUTOMATICALLY UPDATING STOCK  
AND MUTUAL FUND GRAMMARS IN SPEECH RECOGNITION SYSTEMS  
Docket No.: 8728-528  
Dated: April 27, 2006

FVD/mlc

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Attorney Docket No.: YOR920010451US1 (8728-528)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Zhong-Hua Wang Examiner: Ehichioya, Fred I.  
SERIAL NO.: 09/925,596 Group Art Unit: 2162  
FILED: August 9, 2001 Dated: April 27, 2006  
FOR: METHOD AND APPARATUS FOR AUTOMATICALLY UPDATING  
STOCK AND MUTUAL FUND GRAMMARS IN SPEECH  
RECOGNITION SYSTEMS

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addt. Fee	Rate	Addt. Fee
TOTAL CLAIMS*	32	33	0	x 25 =	\$0	x 50 =	\$0
INDEPENDENT CLAIMS	3	3	0	x 100 =	\$0	x 200 =	\$0
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				180		360	\$0

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

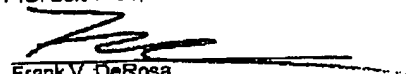
\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
The Highest No. Previously Paid For (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 27, 2006.

Dated: April 27, 2006

  
Frank V. DeRosa

**BEST AVAILABLE COPY**

Please charge Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$\_\_\_\_\_. Two (2) copies of this sheet are enclosed.

☐ A check in the amount of \$\_\_\_\_\_ is enclosed.

☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM (Yorktown Heights). Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM (Yorktown Heights) therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

F. CHAU & ASSOCIATES, LLC  
130 Woodbury Road  
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(516) 692-8888

Respectfully submitted,



Frank V. DeRosa  
Reg No. 43,584  
Attorney for Applicant(s)